

SPECIAL REPORT 5

UFO'S: IS THERE A TECHNOLOGY INVOLVED?

By

Clifford E. Stone

March 31, 1991

SPECIAL REPORT #5

UFO'S: IS THERE A TECHNOLOGY INVOLVED?

GENERAL DISCUSSION

With the closure of the U.S. Air Force's Project Blue Book and the alleged end of the U.S. Government's involvement in UFO research, the Defense Department came to three definite public conclusions concerning UFO's. These were (1) No UFO reported, investigated, and evaluated by the Air Force has ever given any indication of threat to our national security; (2) there has been no evidence submitted to or discovered by the Air Force that sightings categorized as "unidentified" represent technological developments or principles beyond the range of present-day scientific knowledge; and (3) there has been no evidence indicating that sightings categorized as "unidentified" are extraterrestrial vehicles.

What the Defense Department did not say is that they also concluded that (1) UFO's were something real and presented a "potential" threat to our national security; (2) some sightings (not made part of the Blue Book system) categorized as "unidentified" did represent technological developments beyond the range of present-day scientific knowledge, although we did have a very elementary understanding of the principles that might be involved; (3) some of the sightings (not made part of the Blue Book system) categorized as "unidentified" were under intelligent control; and (4) these objects did not belong to the United States or any known foreign government.

This report will look at what the United States Government's own documentation has to say about UFO technology. If UFO's do not exist, then one could reasonably conclude that there should be no mention of UFO technology within the government's own documentation. However, we shall see from the evidence submitted in this report that the existence of this technology is one of the reasons for the government's classification of UFO information.

Several of the case studies in previous reports in this series have shown the use of technologies that we presently do not have (See Special Report 2). There exist other government documentation directly mentioning UFO technology and what could be the end result of that technology.

CASE FILES

CASE 1 - On November 6, 1978 the Central Intelligence Agency (CIA) released a document that made reference to a possible UFO propulsion system. Although this document was heavily censored, it stated in part, "There is a theory that such fields (electromagnetic) are closely associated with superconductivity at very low temperatures, such as in space. This in turn is related to the possible propulsion system of UFO's. There is a rumor that fragments of a possible UFO found in Brazil bore a relationship to superconductors and magnetohydrodynamics."

Here we have the CIA making reference to a possible propulsion system (technology) of UFO's.

The CIA learned of the Brazil UFO as a result of a State Department "Moon Dust" Report. The Defense Intelligence Agency (DIA) currently has a classified report dealing with this incident. The object in question was recovered in a "Blue Fly" operation. This too is currently classified.

CASE 2 - In April and May 1976 the CIA's Domestic Collection Division (DCD) was busy reviewing a so-called UFO study. The documents released covering this study makes it clear that the CIA was interested in UFO research including that research being conducted by private researchers.

One of these documents was quick to point out that (remember the classification of these documents were confidential), "It does not seem that the government has any formal program in progress for the identification/solution of the UFO Phenomena." This same document noted that, "At present time, there are offices and personnel within the Agency who are monitoring the UFO Phenomena, but again, this is not currently on an official basis."

These unofficial offices and personnel wanted specific information concerning UFO's. "In particular, any information which might indicate a threat potential would be of interest, as would specific indications of foreign developments or applications of UFO related research."

CASE 3 - On October 15, 1980 the State Department declassified and released to me a number of documents dealing with UFO's one of the most interesting documents dealt with UFO sightings taking place inside of Kuwait during November and December of 1978. These sightings caused the Government of Kuwait to become so concerned that they appointed an, "Investigation Committee of experts from the Kuwait Institute for Scientific Research (KISR)" to

investigate the occurrences.

The committee's report, "rejected the notion that the 'UFO's' were espionage devices but remained equivocal about whether they were of extraterrestrial origin." The KISR Committee's representative, Ratib Abu Id told American Embassy Officials that, "the scientists did not know enough about the phenomena to say with certainty that they weren't spaceships."

The State Department document also tells of an incident in which a petroleum gathering and transmission system shut down while a UFO was over the oil field only to start itself back up again upon the UFO's departure. This system was designed so that once it shut down, it could only be started again manually to avoid serious damage to the system. What did cause the system to start up by itself?

CASE 4 - Under a letter dated January 10, 1980 the National Security Agency (NSA) released two documents to me dealing with UFO's.

One of these documents deals with the question of defeat caused by blindness to suprise material (advanced technology). In short the author of this document was attempting to get the point across that if some power unfriendly to the U.S. was to gain access to UFO Technology we would be defenseless against it. Therefore, we should take a more serious look at UFO's.

The second document deals with the question of survival in conjunction with UFO's. This document suggest ways in which the human race might survive as a race should we found ourselves confronted by a technological superior race of extraterrestrial origin.

The NSA would want the reader of this report to know that these two documents, "are not 'NSA reports' per se, and they in no way reflect an official NSA position concerning UFO's." On the other hand I wish the reader of this report to know that I do not belief this statement to be true.

Conclusions

This report has attempted to illustrate that the U.S. Government is more concerned about the possible technology involved with the UFO Phenomena than it wishes to admit or let the public to know. After all, how can something that does not exist have a "possible propulsion system?" Why would the CIA be interested in a possible, "threat potential" or "indications of foreign developments or applications of UFO related research?"

All of the questions posed above indicate a concern by the American Intelligence Community of a threat potential involving UFO's or the exploitation of UFO Technology by an unfriendly power. A technology that according to the U.S. Government does not exist.

It would appear that while the U.S. Government is busy trying to deny the existence of UFO's officially, behind the scenes, they just as busy trying to acquire information concerning the technology involved unofficially. Trying to acquire that technology before another power does or before that technology is used against us.

If UFO's do not exist, why is the U.S. Government still interested? If UFO's do not exist, why are there government documents in existence making reference to the "possible" technology involved?

Clifford E. Stone
March 31, 1991



in Brazil bore a relationship to superconductors and magnetohydrodynamics.

5.

6.

-end-

5
4
3
2
1

5
4
3
2
1



[REDACTED]

PRIORITY

3 APRIL 1976

1030

1

1

14596

TO : DCD/[REDACTED]

ATTN : [REDACTED]

FROM : DCD/[REDACTED]

SUBJECT : NEW DCD CASE [REDACTED] - UFO RESEARCH

REFERENCE: FORM 610 DATED 9 APRIL 1976 TRANSMITTING UFO STUDY

1. WE ARE ATTEMPTING TO PROVIDE SOME SORT OF ANALYTICAL GUIDANCE ON THE REFERENCE. IN THE MEANTIME, PLEASE FORWARD BY TWX THE FULL NAME OF THE SOURCE OF THE REFERENCE AS WELL AS HIS AFFILIATION. IN ADDITION, PLEASE ADVISE WHETHER THE MATERIAL WAS CLASSIFIED CONFIDENTIAL AT THE REQUEST OF THE SOURCE.

2. IN VIEW OF THE UNIQUE QUALITY OF THIS INFORMATION [REDACTED] WE REQUEST THAT YOU PROVIDE THIS INFORMATION ASAP.

APR 13 2 21 PM '76

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

*Classified
other foreign source
obtaining*

APR 11 1976

C O N F I D E N T I A L 141445Z APR 76 STAFF

CITE DCD/ [REDACTED]

TO: PRIORITY DCD/HEADQUARTERS.

ATTN: [REDACTED]

FROM: DCD/ [REDACTED]

SUBJECT: CASE [REDACTED] - UFO RESEARCH

REF (A): DCD/HEADQUARTERS 14596

(?): FORM 6)B DATED 9 APRIL 1976, UFO STUDY.

1. SOURCE'S FULL NAME IS [REDACTED]
HE IS EMPLOYED AS [REDACTED]

2. REFERENT B MATERIAL CLASSIFIED CONFIDENTIAL AT HIS
REQUEST. SOURCE SEEKS GUIDANCE FROM CIA UFO EXPERTS AS TO
MATERIAL IN HIS REPORT THAT SHOULD REMAIN CLASSIFIED.
[REDACTED]

[REDACTED]

15 April 1976

[REDACTED]

The UFO ^{study} [REDACTED] was turned over to Dr. [REDACTED] (ADRS&T) who was also briefed on the developments to date. Dr. [REDACTED] said he would show the study to a few people to determine possible implications of the ~~xxxxix~~ information and would be back to us soon on this matter.

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

ROUTINE

14755

16 APRIL 1976

1000

1

APR 25 1 31 1976

TO : DCD, [REDACTED]
ATTN : [REDACTED]
FROM : DCD/ [REDACTED]
SUBJECT : DCD CASE [REDACTED] - UFO RESEARCH, [REDACTED]
[REDACTED]

REFERENCES: A) [REDACTED] TELECON, 22 APRIL 1976
B) [REDACTED]

1. PER THE REQUEST IN REFERENCE (B), WE ATTEMPTED TO OBTAIN ANALYTICAL GUIDANCE ON THE UFO [REDACTED] SUBJECT.

2. WE CONTACTED THE A/DDS&T (DR [REDACTED]) TO SEE IF HE KNEW OF ANY OFFICIAL UFO PROGRAM AND ALSO TO ATTEMPT TO ANSWER SOME OF THE QUESTIONS POSED BY [REDACTED]. DR [REDACTED] EXHIBITED INTEREST IN [REDACTED] WHICH WAS HANDCARRIED TO HIS OFFICE. AFTER A SHORT EXAMINATION OF ITS CONTENTS DR [REDACTED] ADVISED US THAT HE WOULD PERSONALLY LOOK INTO THE MATTER AND GET BACK TO US. AS WE DISCUSSED IN REFERENCE (A) DR [REDACTED] HAS SINCE CONTACTED US AND RELAYED THE FOLLOWING INFORMATION.

3. IT WOULD APPEAR TO BE BEST IF YOU ADVISED [REDACTED] THAT HE SHOULD [REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

Apr 26 1 31 PM '76

SCEM

Comma

IT DOES NOT APPEAR THAT THE GOVERNMENT HAS ANY APPARENT PROGRAM IN PROGRESS FOR THE IDENTIFICATION/SOLUTION OF THE UFO PHENOMENA. DR

[REDACTED] FEELS THAT THE EFFORTS OF INDEPENDENT RESEARCHERS, [REDACTED] [REDACTED], ARE VITAL

FOR FURTHER PROGRESS IN THIS AREA. AT THE PRESENT TIME, THERE ARE OFFICES AND PERSONNEL WITHIN THE AGENCY WHO ARE MONITORING THE UFO PHENOMENA, BUT AGAIN, THIS IS NOT CURRENTLY ON AN OFFICIAL BASIS.

DR [REDACTED] FEELS THAT THE BEST APPROACH WOULD BE TO KEEP IN TOUCH WITH AND IN FACT DEVELOP REPORTING CHANNELS IN THIS AREA TO KEEP THE AGENCY/COMMUNITY INFORMED OF ANY NEW DEVELOPMENTS. IN PARTICULAR, ANY INFORMATION WHICH MIGHT INDICATE A THREAT POTENTIAL WOULD BE OF INTEREST, AS WOULD SPECIFIC INDICATIONS OF FOREIGN DEVELOPMENTS OR APPLICATIONS OF UFO RELATED RESEARCH.

4. DR [REDACTED] HAS ADVISED US THAT HE WOULD EVALUATE ANY ADDITIONAL INFORMATION WE MIGHT RECEIVE AS WELL AS DISSEMINATE SIGNIFICANT DEVELOPMENTS THROUGH APPROPRIATE CHANNELS SHOULD IT BE WARRANTED.

5. WE WISH TO STRESS AGAIN, THAT THERE DOES NOT NOW APPEAR TO BE ANY SPECIAL PROGRAM ON UFOS WITHIN THE INTELLIGENCE COMMUNITY AND THIS SHOULD BE RELAYED TO [REDACTED]

[REDACTED]

6. IN VIEW OF DR [REDACTED] WILLINGNESS TO REVIEW ADDITIONAL INFORMATION RECEIVED ON THE UFO PHENOMENA WE WILL KEEP SUBJECT CASE OPEN TO YOUR OFFICE FOR THE PRESENT.

7. PLEASE KEEP US ADVISED OF ANY NEW DEVELOPMENTS.

APR 75 1 11 14 PM '75

[REDACTED]

[REDACTED]

[REDACTED]

USE-511

SECRET

ROUTING AND RECORD SHEET

SUBJECT: (Optional)

FROM:

DCD/
[REDACTED]

EXTENSION

NO.

DATE

27 May 1976

TO: (Officer designation, room number, and building)

DATE

RECEIVED

FORWARDED

OFFICER'S INITIALS

COMMENTS (Number each comment to show from whom to whom. Draw a line across column after each comment)

1. Dr. [REDACTED]

Dr. [REDACTED]

Regarding our recent discussion of [REDACTED], attached is some material in which you may be interested.

In particular, please note attachment A. Our source obtained it

[REDACTED]

Our source felt that [REDACTED] work might be of interest to the US Government and that it should be evaluated by the Agency. The source also felt that it could be analyzed outside the context of its UFO connection if necessary to remove it from a controversial subject.

As before we are faced with the problem of having UFO related data which is deemed potentially important for the US by our S&T sources, evaluated. As you are aware, at this time there is no channel or working group to which we can turn for this type of analysis and dissemination. Thus, if it is acceptable to you we will continue to periodically advise you or your designee of any new or potentially important FI

(continued)

FORM 610 USE PREVIOUS EDITIONS

CONFIDENTIAL

INTERNAL

[REDACTED]

Continued

developments which might arise from current independent scientific research on the UFO phenomena.

If you feel that [REDACTED] offers some potential we can obtain a more detailed report through our source.

[REDACTED]

Attachments:

Attachment A: [REDACTED]

Attachment B: [REDACTED]

Attachment C: [REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

On 25 June 1976, [REDACTED] met with [REDACTED] of ORD [REDACTED] regarding possible interest by that office in the UFO case. [REDACTED] was provided copies of the [REDACTED] and later [REDACTED] memo, as well as the original [REDACTED]. These items were provided at his request.

[REDACTED] felt that there may be some ongoing ORD interest, depending on the evaluation by that office of the material provided.

[REDACTED] asked that we attempt to obtain additional info on the [REDACTED] system which we agreed to do. (a TWX was sent to this effect to the [REDACTED] Office ^{requesting additional info} on 25 June 1976).

~~XXXXXXXXXX~~

[REDACTED] asked that the ORD interest be kept at a low profile until some evaluation could be made, but at the same time indicated that he would be in touch with me on a fairly ~~regular~~ regular basis.

[REDACTED]

25 June 1976

[REDACTED]

[REDACTED]

[REDACTED]

EXT: [REDACTED]	CLASSIFICATION [REDACTED]	FILE TIME
PRECEDENCE - ACTION Routine	PRECEDENCE - INFO	
MULTIPLE ADDRESS MESSAGE	BOOK MESSAGE	CHECK NO. (S)
DATE 25 June 1976	TIME 1425	PAGE 1 OF 1

TO DCD, [REDACTED] INFO

15678
NUMBER

SUBJECT: CASE [REDACTED] - UFO RESEARCH/ORD REQUEST FOR
ADDITIONAL INFORMATION [REDACTED]

REFERENCE: A. [REDACTED]
B. [REDACTED]

1. ORD HAS EXHIBITED SOME INTEREST IN THE WORK OF [REDACTED] A QUALIFIED ANALYST IS CURRENTLY ATTEMPTING TO EVALUATE [REDACTED] SYSTEM AND HAS REQUESTED ADDITIONAL INFORMATION.

2. WE NOTE THAT IN SOME OF THE EARLIER CORRESPONDENCE FROM YOUR OFFICE (REFERENCE B) MENTION WAS MADE OF THE POSSIBILITY OF OBTAINING^A MORE COMPLETE DESCRIPTION OF [REDACTED] SYSTEM. IF THIS POSSIBILITY STILL EXISTS, ORD WOULD APPRECIATE SEEING WHATEVER IS AVAILABLE.

3. PLEASE KEEP US ADVISED OF ANY NEW DEVELOPMENTS.

[REDACTED]

AUTHENTICATING OFFICER

[REDACTED]

COORDINATING OFFICERS

[REDACTED]
[REDACTED]
CLASSIFICATION

RELEASING OFFICER

[REDACTED]
[REDACTED]

TO: (Officer designation, room number, and building)		DATE		OFFICER'S INITIALS	COMMENTS (Number each comment to show from whom to whom. Draw a line across column after each comment.)
		RECEIVED	FORWARDED		
1. DCD/ [REDACTED] [REDACTED]					DATE 14 July 1976
1. Deputy Chief, OD&E Attn: Mr. [REDACTED]					Mr. [REDACTED]
2.					<p>At a recent meeting to evaluate material from [REDACTED] mentioned a personal interest in the UFO phenomena. As you may recall, I mentioned my own interest in the subject as well as the fact that DCD had been receiving UFO related material from many of our S&T sources who are presently conducting related research. The scientists include some who have been associated with the Agency for years and whose credentials remove them from the "nut" variety.</p> <p>The attached material came to my attention through these sources and it appears to have some legitimate FI or community interest potential.</p> <p>The [REDACTED] work being carried out by Dr. [REDACTED] should, in the view of our S&T sources, be evaluated by the Agency or community.</p> <p>In view of the expertise associated with your office, as well as your interest in the subject, I felt you might like to see the material.</p> <p>[If you need additional information or if you feel there is some potential, I would be glad to discuss this with you. If not, please feel free to destroy the material.]</p>
3.					
4.					
5.					
6.					
7.					
8.					
9.					
10. [REDACTED]					
11.					
12. [REDACTED]					
13. [REDACTED]					
14.					
15. [REDACTED]					

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 INTERNAL USE ONLY
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PAGE 01

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ACTION NEA-27

INFO OCT 21 EUR 12 ISG 22 DES 29 NASA 22 NSG 22 JOE 15

SOE-22 CIAD-22 PM-25 F 22 INO 10 L 23 NSAS 22

NSC-05 PA-22 SP-22 SS-15 NAS-21 /225 X

-----122477 290902Z 11

R 290820Z JAN 75

FM AMEMBASSY KUWAIT

TO SECSTATE WASHDC 2864

INFO AMEMBASSY ABU DHABI

AMEMBASSY DOHA

AMEMBASSY LONDON

AMEMBASSY MANAMA

AMEMBASSY MUSCAT

SECDEF WASHDC

USCINCPAC

USICA WASHDC

UNCLAS KUWAIT 0486

CINCPAC ALSO FOR POLAD

E.O. 12958: N/A

TAGS: MPOI, PINS, MASS, SOPN, TGEN, TU

SUBJECT: 'UFO' SIGHTINGS CAUSE SECURITY CONCERN IN KUWAIT

1. A SERIES OF 'UFO' SIGHTINGS ON NOVEMBER 9 CAUSED THE
GCC TO APPOINT AN INVESTIGATORY COMMITTEE OF EXPERTS FROM
THE KUWAIT INSTITUTE FOR SCIENTIFIC RESEARCH (KISR)
THE COMMITTEE'S REPORT WHICH WAS RELEASED JANUARY 20 DESCRIBED
EIGHT SIGHTINGS FROM NOVEMBER TO DECEMBER 14. A NUMBER OF
THE EARLY SIGHTINGS TOOK PLACE NEAR A KUWAIT OIL COMPANY
GATHERING CENTER NORTH OF KUWAIT CITY. RELEASE OF THE
COMMITTEE'S REPORT WAS SOMETHING OF A MEDIA EVENT AS IT
COINCIDED WITH JAN 21 FRONT PAGE STORIES OF YET ANOTHER 'UFO'
SIGHTING OVER KUWAIT CITY, WHICH INCLUDED PHOTOGRAPHS IN
LOCAL NEWSPAPERS.

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PAGE 02

KUWAIT 00486 290820Z

2. THE KISR COMMITTEE REJECTED THE NOTION THAT THE
'UFO'S' WERE ESPIONAGE DEVICES BUT REMAINED EQUIVOCAL
ABOUT WHETHER THEY WERE OF EXTRATERRESTRIAL ORIGIN
THE KISR COMMITTEE REPRESENTATIVE, BATHI ABU ID, TOLD
EMBCCY THAT THE SCIENTISTS DID NOT KNOW ENOUGH ABOUT THE
PHENOMENA TO SAY WITH CERTAINTY THAT THEY WERE
'SPACESHIPS.' THE REPORT WENT

ON TO RECOMMEND THAT THE GOVERNMENT TAKE ALL POSSIBLE
MEASURES TO PROTECT KUWAIT'S AIR SPACE AND TERRITORY AS
WELL AS THE COUNTRY'S OIL RESOURCES.

3. SOME LOCAL WAGS HAVE MADE LIGHT OF THE FIRST UFO
SIGHTINGS WHICH CAME NEAR THE END OF THE LONG AND
TRADITIONALLY EXUBERANT HOLIDAY CELEBRATIONS OF ID-AL-
ADHA. HOWEVER WE HAVE LEARNED RECENTLY OF AN EVENT

UNCLASSIFIED

DEPARTMENT OF STATE A/CDC/MR

REVIEWED BY W F J DATE 10/27/80

RDS or XDS EXT. DATE _____

TS AUTH. _____ REASON(S) _____

EX. DCRSE EXISTING MARKINGS

DECLASSIFIED RELEASABLE

RELEASE DENIED

PA or FOI EXEMPTIONS _____

PAGE 1

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COINCIDENT WITH ONE OF THE UFO SIGHTINGS WHICH HAS
CONFOUNDED SOME OF OUR MOST LEVEL-HEADED KUWAITI FRIENDS
AND MAY HAVE BEEN WHAT PERSUADED THE GOK TO MAKE A SERIOUS
INVESTIGATION OF THE MATTER. A SENIOR KUWAIT OIL COMPANY
(KOC) OFFICIAL TOLD US THE THE "UFO WHICH VIBS"
APPEARED OVER THE NORTHERN OIL FIELDS SEEMINGLY DID
STRANGE THINGS TO KOC'S AUTOMATIC PUMPING EQUIPMENT
THIS EQUIPMENT IS DESIGNED TO SHUT ITSELF DOWN
WHEN THERE IS SOME FAILURE WHICH MAY SERIOUSLY DAMAGE
THE PETROLEUM GATHERING AND TRANSMISSION SYSTEM AND
IT CAN ONLY BE RESTARTED MANUALLY. AT THE TIME OF THE
"UFO'S" APPEARANCE THE PUMPING SYSTEM AUTOMATICALLY
SHUT ITSELF DOWN AND WHEN THE "UFO" VANISHED THE
SYSTEM STARTED ITSELF UP AGAIN. THIS EVENT WAS NOT
ADDRESSED BY THE KISR COMMITTEE REPORT.

4. EVEN THOSE WHO ARE NOT INCLINED TO BELIEVE IN

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PAGE 03 KUWAIT 20486 292820Z

VISITORS FROM OUTR SPACE DO TEND TO THINK SOMETHING
STRANGE HAS BEEN GOING ON IN KUWAITI AIRSPACE
THERE HAS BEEN SPECULATION, FOR EXAMPLE, ABOUT
HELICOPTERS OR HOVERCRAFT BRINGING REFUGEES OR
MONEY OUT OF BELEAGUERED IRAN. AT THE LEAST, THE
PHENOMENA HAVE STIMULATED A NEW DEGREE OF INTEREST
AMONG TOP KUWAITI OFFICIALS IN THE COUNTRY'S AIR
DEFENSE SYSTEM, WHICH DID NOT REACT IN ANY WAY TO THE
"EVENTS" IN THE KOC NORTH FIELD BECAUSE IT WAS CLOSED DOWN
FOR THE NIGHT (SEPTEL).

MAESTRONE

UNCLASSIFIED



NATIONAL SECURITY AGENCY
CENTRAL SECURITY SERVICE
FORT GEORGE G. MEADE, MARYLAND 20755

Serial: N9094
10 JAN 1980

SP5 Clifford E. Stone
[REDACTED]

HQ Det, Hanau Mil Comm
APO NY 09165

Dear Specialist Stone:

This replies to your Freedom of Information Act (FOIA) requests of July 16, 1978 and February 21, 1979 in which you request any information that this Agency may have concerning Unidentified Flying Objects (UFOs).

Your request has been processed in accordance with 5 U.S.C. 552, the Freedom of Information Act. Two documents that are within the scope of your request are enclosed.

These documents were released pursuant to an administrative appeal under the FOIA. The documents were written in 1968 by an NSA employee with an interest in UFOs. One of the documents was originally classified because portions of it tangentially discussed protected activities pertaining to the NSA. Most of the remaining portions of the documents reflected open-source information on UFOs. We wish to emphasize that these draft documents were never published, formally issued, acted upon, or responded to by any government official or agency. Moreover, they are not "NSA reports" *per se*, and they in no way reflect an official NSA position concerning UFOs. They are subject to the provisions of the FOIA only because they have been retained by this Agency for historical reference purposes.

Deletions have been made to these two documents pursuant to the following provisions of Title 5 U.S.C. 552, the FOIA:

1. Title 5 U.S.C. 552(b)(1), which provides that the FOIA does not apply to matters that are specifically authorized under criteria established by an Executive Order to be kept secret in the interest of national defense or foreign policy and are in fact properly classified pursuant to such Executive Order. The classified information that has been deleted from the enclosed records is currently and properly classified in accordance with the criteria for classification in Section 1-3 of Executive Order 12065, and paragraph 2-202 of Department of Defense (DoD) Regulation 5200.1-R. The information has been reviewed for possible declassification or downgrading according to the provisions of Sections 3-3, 3-4, and 3-6 of Executive Order 12065 and Chapter III of DoD Regulation 5200.1-R and found to be properly excluded from declassification or downgrading.

2. Title 5 U.S.C. 552(b)(3), which provides that the FOIA does not apply to matters that are specifically exempted from disclosure by statute. The applicable statutes in this case are Title 18 U.S.C. 798, Title 50 U.S.C. 403(d)(3), and Public Law 86-36.

Other records that fall within the scope of your request are being withheld in their entirety. These records are exempt from disclosure pursuant to the following provisions of the FOIA:

1. Title 5 U.S.C. 552(b)(1);
2. Title 5 U.S.C. 552(b)(3), in conjunction with Title 18 U.S.C. 798, Title 50 U.S.C. 403(d)(3), and Public Law 86-36;
3. Title 5 U.S.C. 552(b)(5); which exempts inter-agency or intra-agency memorandums or letters which would not be available by law to a party other than an agency in litigation with this Agency;
4. Title 5 U.S.C. 552(b)(6), which provides that the FOIA does not apply to personnel and similar files the disclosure of which would constitute a clearly unwarranted invasion of personal privacy.

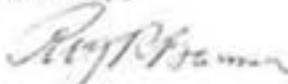
No portion of the information being withheld in its entirety is reasonably segregable.

Certain other information, which originated with other Federal agencies and components, is also being withheld. Department of Defense (DoD) Directive 5400.7, which implements the FOIA for all DoD agencies, provides that when records requested under the FOIA originate with another agency or component, the request shall be referred to that agency or component for disposition. The agencies to which we have referred records will correspond directly with you concerning those records.

Since your requests have been denied in part, you are hereby advised of this Agency's appeal procedures.

Any person denied access to records may, within 30 days after notification of such denial, file an appeal to the NSA/CSS Freedom of Information Act Appeal Authority, National Security Agency, Fort George G. Meade, MD 20755. The appeal shall reference the initial denial of access and shall contain, in sufficient detail and particularity, the grounds upon which the requester believes release of the information is required. The NSA/CSS Appeal Authority shall respond to the appeal within 20 working days after receipt.

Sincerely,



ROY R. BANNER
Chief, Policy Staff

2 Encls:
a/s

~~SECRET~~

DRAFT

SUBJECT: UFO's and the Intelligence Community Blind Spot to Surprise or Deceptive Data

1. The implications of the UFO phenomena go far beyond the particular phenomena itself. The human incapacity to objectively process such data indicates a serious weakness in the intelligence community. This weakness ought to be remedied and quickly if the United States is to be able to respond swiftly and appropriately to surprise attack indicators. The reason that surprise attack is such a basic ingredient of military success is that it is able to rely on a most dependable human blind spot: The inability of most men to objectively process and evaluate highly unusual data and to react to the data in a meaningful way.

2. Scientific Findings: Dr. Jacques Vallee* famed communications science expert has studied thousands of cases where human beings have observed unusual phenomena. He has found that the human response to such observation is predictable and graphically depictable. Whether the person's psychological structure is being assaulted by the unusual and shocking brutality of a murder or the strangeness of a UFO sighting the effect is the same:

a. Initially as by a kind of psychological inertia, the mind records fairly objectively what the eye is reporting.*

b. But when it has realized the strange nature of the phenomena it goes into shock. The mind likes to live in a comfortable world where it feels it knows what to expect, and that, is not too threatening either physically or psychologically. The unusual dispels the comfortable illusion the mind has created. This shock tears at the very mooring of the human psychological structure.*

c. To protect itself against such an intrusive and threatening reality the mind will begin to add imagination and interpretation to the incoming data to make it more acceptable. Since the mind is doing all this in haste some of the hurriedly added details and suggestions tumble over one another and contradict one another in a bizarre fashion (as any police officer interrogating murder witnesses will tell you*) (See Chart A).

d. Once the mind has constructed a "safe" framework for the new information it may again peek out and collect some more objective data. If the data is still threatening it will again go into shock and the process starts all over again.*

e. If the data is at the highest strangeness level where it brings terror either:

(1) The mind will pass out and go into amnesia burying the events perhaps permanently in the unconscious.*

~~SECRET~~

DRAFT

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(2) The personal psychological structure will collapse and the mind will reach down into its deepest place where "that which cannot be destroyed" is and it will abandon itself to this entity for survival protection. Encounter with this changeless indestructible entity is usually referred to as a religious experience. In the confusion and the shock, this experience is often attributed to the shocking event or object and that is why primitive peoples worship such bizarre things as airplanes or cigarette lighters.

f. The degree of strangeness of the phenomena dictates how many people the mind is willing and able to tell the event to. A mildly unusual or shocking event will be told to many people. A very shocking event of high strangeness will be told to few people or practically none at all. Occasionally the event is so shockingly unusual that it isn't even reported to the person's conscious mind but is buried in the unconscious of the person where it is only accessible to hypnosis or careful level six communication sharing with another person. (See Chart 2.)

4. Conclusions and Recommendations. It is apparent that we cannot allow such a human flaw to leave us blinded to unusual or surprising material. The example indicates that some people are less affected by strange phenomena than others, though still frightened by it, they remain capable of reporting it with a fair degree of objectivity. To solve this problem I recommend the following:

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Appendix

Other Examples of Blindness to Surprise Material Causing Defeat

1. Because the Aztecs could not conceive of the Spanish as human enemies, they were destroyed by this sudden invasion of alien Gods who could not be defended against.
2. Because the Spanish could not believe that those crude Americans could build a modern effective naval force their outmoded ships were swiftly destroyed at Cuba and the Philippines in the Spanish-American War.
3. Because the French had such absolute faith in their maginot line they refused to believe that the radically new small swift blitzkrieg army could outflank their line and shock them into a disorganized route in 1940.
4. Because we could not appreciate the power of the novel carrier strike force were surprised and defeated at Pearl Harbor.
5. Because Yamamoto was not aware that the most important ship in the modern fleet was the novel aircraft carrier, he protected his battleships by leaving them far behind the rest of the fleet - where they could afford no protection to the carriers, which without sufficient air cover were nearly defenseless against the American dive bombers at Midway.
6. Partly because the Germans believed that you could not mount an invasion without permanent port facilities they were firmly convinced Normandy invasion must really come at Pas de Calais. The result was that they refused to reinforce Normandy even after the beachhead was established. When they did finally move the armoured reserves to support the Normandy forces, Patton trapped them in the bag behind the Falaise Gap. (It is interesting to note that the idea of portable port facilities was so novel and radical that the allies almost refused to accept it. It is no wonder that the Germans didn't take it into their calculations.
7. Because the Germans found the idea that any one would spend five million dollars to break their enigma machine to be inconceivable - too radical, they refused to accept the reality of the compromise of enigma even when they were presented with solid evidence to the contrary.
8. Because Americans refused to believe that fast erratic moving oval objects were anything but mental illusions, they were destroyed by fast erratic moving oval guided missiles from Russia.

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9. Because Americans found it incomprehensible that a crude technology could effectively defend itself against a sophisticated weapons systems, many aircraft were lost to WWII model antiaircraft and small arms fire in Vietnam.

10. Because Americans considered that the "primitive" Vietnamese were not capable of fluent English and of using relatively sophisticated techniques of deception against US forces - many artillery and air strikes were called onto friendly targets by enemy deception tactics which were often somewhat simple in procedure.

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Chart A

The Pattern of Objective and Speculative
Material During Observations of Unusual Data

(Speculative Chart)

10 seconds

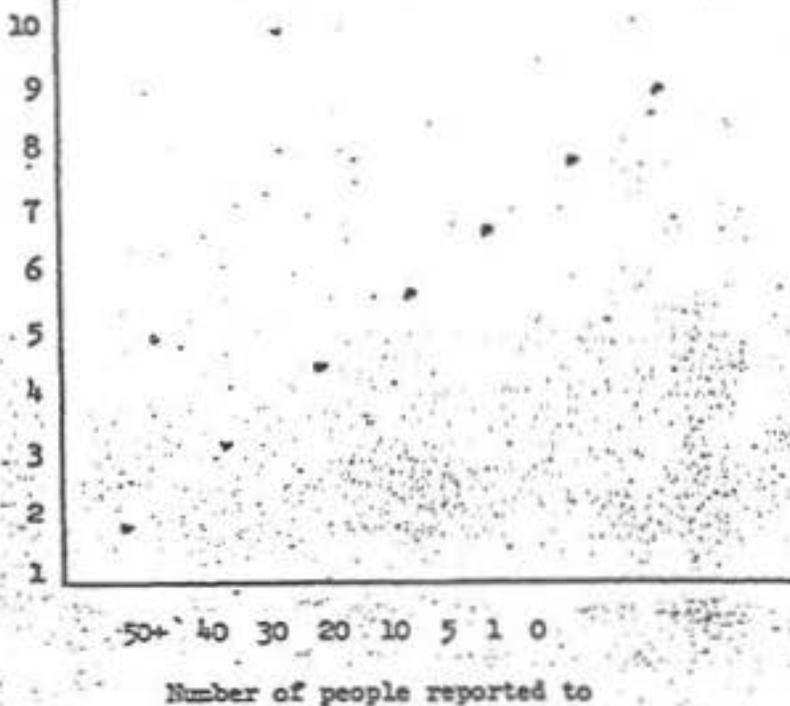
Objective Data

Imaginative Speculation

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Chart B - Reporting Index

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U F O HYPOTHESIS AND SURVIVAL QUESTIONS

It is the purpose of this monograph to consider briefly some of the human survival implications suggested by the various principal hypothesis concerning the nature of the phenomena loosely categorized as U F O (1).

1. ALL UFO'S ARE HOAXES: From the time when hoaxes were first noted in history, they were characterized by infrequency of occurrence and usually by a considerable restriction of their geographical extent. Rarely have men of science, while acting within their professional capacities, perpetrated hoaxes. The fact that UFO phenomenon have been witnessed all over the world from ancient times, and by considerable numbers of reputable scientists in recent times, indicates rather strongly that UFO's are not all hoaxes. (2) ^{CATHERINE TAMM DIMMICKING,} If anything, the modern trend is toward increased reports, from all sources. In one three month period in 1953 (June, July, and August) Air Force records show 35 sightings whose nature could not be determined (3). If UFO's, contrary to all

(1) All flying, sailing or maneuvering aerial objects whether glowing, pulsating, or of a constant metallic hue, whose shape is somewhat circular or cigarish.

(2) Anatomy of a Phenomenon, Jacques Vallee, Henry Regnery Co. Chicago, 1955. p 9-17. (Vallee has degrees in Astronomy and Physics and is currently consultant to NASA's MARS MAP STUDY.)

(3) United States Air Force Projects Grudge and Bluebook Reports 1-12 (1951-1953) National Investigation Committee on Aerial Phenomena. Washington June 1953. p 215.

(4) Visitors from Outer Space, Sputnik, (condensed from the original on Land and Sea) Vacheslav Zaitsev, p 154-151.

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indications and expectations, are indeed hoaxes - hoaxes of a world-wide dimension - hoaxes of increasing frequency, then a human mental aberration of alarming proportions would appear to be developing. Such an aberration would seem to have serious implications for nations equipped with nuclear toys - and should require immediate and careful study by scientists.

2. ALL UFO'S ARE HALLUCINATIONS: People, of course, do hallucinate. Although groups of people hallucinating is rare, it has been known to happen. Machines have their own form of hallucination; the radar, in particular, "sees" temperature inversions. But a considerable number of instances exist in which there are groups of people and a radar or radars seeing the same thing at the same time; sometimes a person and a gun-camera confirm each other's testimony⁽⁴⁾. On occasion, physical evidence of a circumstantial nature was reported to have been found to support witnessed sightings⁽⁵⁾. A continuing high percentage of reports of unusual aerial objects are being reported by people in responsible positions in science, government, and industry.⁽⁶⁾ The sum of such evidence seems to argue strongly against all UFO's being hallucinations.

(In spite of all the evidence to the contrary, if UFO's did turn out to be largely illusionary, the psychological implications for man would

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(4) *ibid.*, p 208, 192, 149, 146

(5) *op. cit.*, Vallee, p. 70, 71, 74.

(6) *The Report on Unidentified Flying Objects*, Edward J. Ruppelt, Doubleday, New York 1956. p 242. (Ruppelt was Chief of the Bluebook Study at one time.)

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certainly bring into strong question his ability to distinguish reality from fantasy. The negative effect on man's ability to survive in an increasingly complex world would be considerable - making it imperative that such a growing impairment of the human capacity for rational judgment be subjected to immediate and thorough scientific study - so that the illness could be controlled before it reaches epidemic proportions (7). (For comments on mass hysteria and UFO's see source 8 below which contains a statement by Dr. Robert L. Hall, a social psychologist formerly with the AF Personnel and Training Research Center and the Program Director, Sociology and Psychology, National Science Foundation.)

3. ALL UFO'S ARE NATURAL PHENOMENA: If this hypothesis is correct the capability of air warning systems to correctly diagnose an attack situation is open to serious question.

(8) Many UFO's have been reported by trained military observers to behave like high speed, high performance, high altitude rockets or aircraft. The apparent solidity and craft-like shape of the objects have often been subject to radar confirmation (9). If such objects can appear to trained military men as rockets or air craft and if such objects

(7) op. cit., Ruppelt p 237 (Dutch Liner, Rome, Italy)

(8) Symposium on Unidentified Flying Objects (Second Session).

July 29, 1958. nr 7 (House Committee on Science and Astronautics).

(9) op. cit., Project Grudge, pp.192, 149, 146.

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should come over the Arctic from the direction of Russia on the United States, they could trigger "false reports of missile attacks". (10)

b. Many responsible military officers have developed a mental "blind spot" to objects which appear to have the characteristics of UFO's. (10) Such an attitude is an open invitation to the enemy to build a replica of the phenomena in order to penetrate the "hole" in his adversaries' defenses - Was this the purpose of the lens shaped reentry vehicle tested by the U.S. Air Force in 1960 and recently featured in the Washington, D.C. Evening Star, dated 24 September 1968, page A4?

c. Sometimes the phenomena appear to defy radar detection and to cause massive electromagnetic interference. Surely it is very important to discover the nature of these objects or plasmas before any prospective enemy can use their properties to build a device or system to circumvent or jam our air and space detection systems - Any nation certainly could use a system or device to penetrate enemy defenses. (11)

4. SOME UFO'S ARE SECRET EARTH PROJECTS: The above referenced U.S. Air Force reentry vehicle and an often publicized Canadian "saucer" project (9) leave little doubt as to the validity of this hypothesis.

(Undoubtedly, all UFO's should be carefully scrutinized to ferret-out such enemy (or "friendly") projects. Otherwise a nation faces the very strong

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(10) Flying Phenomena, Sovetskaya Latvya, No. 287, 10 December 1967, p 3, Col 1-3, by R. Vitolnysk (Director of the Station for the Radio Observation of the Ionosphere and Artificial Earth Satellites).

(11) ibid., Project Guide.

UFO's and the Colorado Project, Encyclopedia Britannica Book of the Year 1968, p 123.

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possibility of being intimidated by a new secret "doomsday" weapon.

SOME UFO'S ARE BELIEVED TO BE OF EXTRA-TERRESTRIAL ORIGIN: According to some eminent scientists closely associated with the study of this phenomenon, this hypothesis cannot be disregarded. 12 (The well documented sightings over BIAH, TX, in 1952, especially the "Travis" case) 13 This hypothesis has a number of far-reaching human survival implications:

a. If "they" discover you, it is an old but hardly invalid rule of thumb, "they" are your technological superiors. Human history has shown us time and again the tragic results of a confrontation between a technologically superior civilization and a technologically inferior people. The "inferior" is usually subject to physical conquest.

b. Often in the past, a technologically superior people are also possessors of a more virile or aggressive culture. In a confrontation between two peoples of significantly different cultural levels, those having the inferior or less virile culture, most often suffer a tragic loss of identity and are usually absorbed by the other people.

c. Some peoples who were technologically and/or culturally inferior to other nations have survived - have maintained their identity - have equalized the differences between them and their adversaries. The Japanese people have given us an excellent example of the methods required to achieve such a survival:

(1) full and honest acceptance of the nature of the inferiorities separating you from the advantages of the other peoples,

(2) complete national solidarity in all positions taken in dealing with the other culture,

(3) highly controlled and limited intercourse with the other side - doing only those actions advantageous to the foreigner which you are absolutely forced to do by circumstances,

(4) a correct but friendly attitude toward the other people,

12. Professor James E. McDonald, Astronomer, and Professor Allen J. Synch, Astrophysicist, Jacques Vallée, Astronomer; Synch & Vallée, Astronomer. It is noted that some of these objects are probably EXTRA-TERRESTRIAL IN ORIGIN. It is also supported by a 1952 report by the National Bureau of Scientific Investigations. *SECRET*

13 OP. CIT. THE WASHINGTON POST (1.) WASHINGTON News-Record.

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(5) A national eagerness to learn everything possible about the other culture - its technological and cultural strengths and weaknesses. This often involves sending selected groups and individuals to the other's country to become one of his kind, or even to help him in his wars against other adversaries.

(6) Adopting as many of the advantages of the opposing people as you can, and doing it as fast as possible - while still protecting your own identity by molding each new knowledge increment into your own cultural cast.

6. CONSENT: Although this paper has hardly exhausted the possible hypotheses related to the UFO phenomena, those mentioned above are the principal ones presently put forward. All of them have serious survival implications. The final answer to this mystery will probably include more than one of the above hypotheses.

Up until this time, the leisurely scientific approach has too often taken precedence in dealing with UFO questions. If you are walking along a forest path and someone yells, "rattler" your reaction would be immediate and defensive. You would not take time to speculate before you act. You would have to treat the alarm as if it were a real and immediate threat to your survival. Investigation would become an intensive emergency action to isolate the threat and to determine its precise nature - It would be geared to developing adequate defensive measures in a minimum amount of time.

It would seem a little more of this survival attitude is called for in dealing with the UFO problem.

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Observations of chimpanzees while in a captive environment have shown that the animals tend to become confused and disoriented. Since they do not usually have adult chings to teach them how to be good ones, they are not even sure of their behavior. Often their actions are patterned after human behavior and would have virtually no survival value in the wild. Lacking the challenge of environmental adaptation, the bodies of the animals atrophy and become subject to many diseases - mostly unknown in their wild counterparts. Reactions to stimuli usually become less responsive and suitable. Sex often becomes a year-long preoccupation instead of a seasonal madness.

Do the captivity characteristics of modern civilization cause a similar lessening of man's adaptive capability, of his health, of his ability to recognize reality, of his ability to survive?

Perhaps the UFO question might even make man undertake studies which could enable him to construct a society which is most conducive to developing a completely human being, healthy in all aspects of mind and body - and most important able to recognize and adapt to real environmental situations.



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